Gordon Brothers has established an anonymous reporting system to submit concerns about suspected wrongdoing, violations of policy or unethical behavior. The reporting system is hosted and maintained by a third-party vendor called Ethical Advocate.

### This web site is hosted by Ethical Advocate and is not part of Gordon Brothers’ website or Intranet system.

There are many other ways to contact us to discuss concerns. In many cases, Gordon Brothers is better able to respond to complaints that are not anonymous.

Anyone may use the system to report a concern. The system can be found at <https://gordonbrothers.ethicaladvocate.com> and user guides are available to help you establish an account and submit a report. The system is available 24 hours a day, 365 days a year.

Gordon Brothers has a strong non-retaliation policy that applies to anyone who raises a concern in good faith. For questions about the reporting service or related material on this website, contact Leslie Zmugg, Chief Compliance Officer at lzmugg@gordonbrothers.com.